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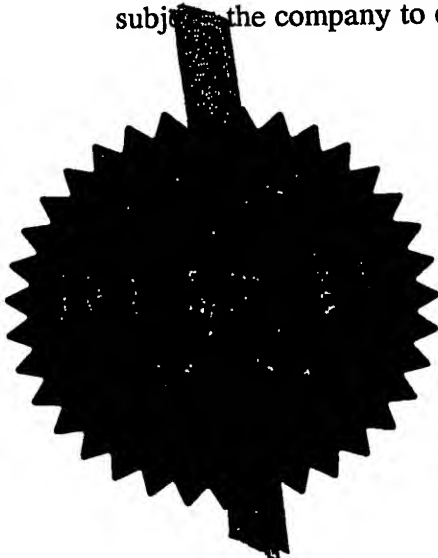
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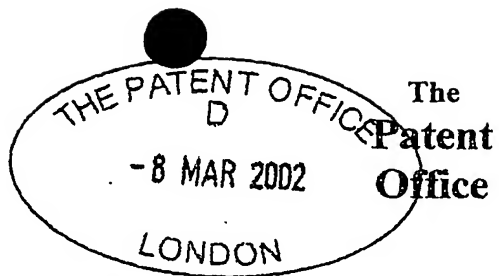
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|----|--|--|---|--|
| 1. | Your reference | J3652 (C)/PMK | | |
| 2. | Patent application number
(The Patent Office will fill in this part) | 0205531.7 | | 08 MAR 2002 |
| 3. | Full name, address and postcode of the or of each applicant (underline all surnames) | UNILEVER PLC
UNILEVER HOUSE, BLACKFRIARS
LONDON, EC4P 4BQ | | |
| | Patents ADP number (if you know it) | 1625002 | | |
| | If the applicant is a corporate body, give the country/state of its incorporation | UNITED KINGDOM | | |
| 4. | Title of the invention | HAIR TREATMENT COMPOSITIONS | | |
| 5. | Name of your agent (if you have one) | ELLIOTT, Peter William | | |
| | "Address for Service" in the United Kingdom to which all correspondence should be sent (including the postcode) | PATENT DEPARTMENT, UNILEVER PLC
COLWORTH HOUSE, SHARNBROOK
BEDFORD, MK44 1LQ | | |
| | Patents ADP number (if you know it) | 1625003 | | |
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| 8. | Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:
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Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

1 /

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature(s)



Date: 07/03/02

Sandra Jane EDWARDS, Authorised Signatory

12. Name and daytime telephone number of person to contact in the United Kingdom

Petra Kimber, Tel 01234 222893

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HAIR TREATMENT COMPOSITIONSBackground to the Invention

- 5 Shampoo compositions which provide a combination of
cleansing and conditioning to the hair are well know in the
art. Such shampoo compositions typically comprise one or
more surfactants for shampooing or cleansing purposes and
one or more conditioning agents. The purpose of the
10 conditioning agent is to make the hair easier to comb when
wet and more manageable when dry, e.g. less static and fly-
away. Typically, these conditioning agents are either
water-insoluble oily materials or cationic materials.
- 15 Amongst the most popular conditioning agents used in shampoo
products are silicone polymers, present in the shampoo as
emulsion droplets. Conditioning is achieved by the silicone
being deposited onto the hair resulting in the formation of
a film. Whilst the silicone film gives excellent
20 conditioning, for example wet comb properties, the repeated
use of compositions containing silicones can lead to a build
up of silicone and undesirable affects such as a heavy, oily
feel to the hair. This can lead to the perception by the
user that their hair is not clean.
- 25 A problem associated with use of cationic polymers and
cationic surfactants as conditioning agents is that the
anionic surfactants commonly employed in shampoo
compositions can interact with the cationic conditioning
30 agents resulting in poorer performance of the conditioning
agent and/or the cleansing surfactant. Attempts to overcome

this problem have been made by employing nonionic, amphoteric and/or cationic co-surfactants as cleansing agents, but these systems do not generally provide as high a level of cleansing or foaming as do anionic surfactant systems.

Many consumers habitually apply triglycerides to their hair as part of their regular grooming habits. Coconut oil is frequently used as a grooming aid by such consumers.

10 Silicones in hair treatment formulations are not generally compatible with film formation on oiled hair to provide conditioning benefits. There is a need for hair treatment compositions which can provide conditioning benefits to oiled hair.

15

Another class of conditioning agents used in hair treatment compositions is non-silicone water-insoluble oily materials. Such oily materials have been used to a far lesser degree than silicone and cationic conditioning agents. One reason for this is the incompatibility of such materials with hair treatment surfactants, especially anionic surfactants use in shampoos to provide good cleaning. Another reason is the inherent instability of emulsions of these oily materials in aqueous-based hair treatment compositions. This often results in a lack of homogeneity in the hair treatment composition and/or inadequate shelf-life.

25

Accordingly, there is a need for hair treatment compositions containing reduced levels of silicone oily conditioning agents to prevent build-up yet which deliver both good conditioning performance, and which are also stable in the

30

hair treatment composition. There is also a need for hair treatment compositions which are effective for conditioning the hair of consumers who regularly apply triglycerides to their hair. There is also a need for hair treatment compositions which deliver conditioning benefits from a cleansing composition without making the users feel that their hair is not clean after use.

EP 0 742 64 discloses aqueous conditioning shampoos comprising anionic or amphoteric surfactant, cationic or nonionic conditioning agents, and a water miscible saccharide. Suitable conditioning agents include silicones, resinous materials, waxy materials and oily materials.

WO 93/08787 discloses conditioning shampoos including anionic surfactant, dispersed non-volatile nonionic silicone conditioning agent, a water-soluble cationic organic hair-conditioning polymer of specified charge density and an organic, non-volatile, water-insoluble liquid selected from hydrocarbon oils, fatty esters and mixtures thereof.

US 5,344,643 discloses shampoo compositions comprising an anionic surfactant, an oily, water-insoluble conditioning agent, a carboxyvinyl polymer suspending and stabilising agent for the oily conditioning agent and a cationic conditioning agent.

FR 2,788,971 discloses a hair treatment composition containing an oil with at least one amine and one urethane group and a conditioner which may be polyolefin, cationic or amphoteric polymers, cationic proteins and their hydrolysates,

silicones, mineral oils, ceramide-type compounds or cationic surfactants.

It has now found that by the use of discrete droplets
5. containing both mineral oil and functionalised silicone,
pre-emulsified before its addition to the hair treatment
composition, a composition can be provided which supplies
conditioning benefits to the hair of hair oil users.
Compositions according to the invention can also be
10. beneficial in reducing the long-term build up of silicones
on the hair. Compositions according to the invention can
also provide conditioning benefits from a cleansing product
with good stability.

15 Summary of the Invention

According to one aspect of the invention, there is provided
a composition for hair treatment comprising discrete
droplets characterised in that the droplets, within the same
20 droplet comprise both;

- (i) a functionalised silicone and,
- (ii) a hydrocarbon oil.

25 Detailed Description of the Invention

By "insoluble" is meant that a material is not soluble in a
liquid at a concentration of 0.5% by weight, based on weight
of liquid, at 25°C.

- 5 -

Where values for viscosity are specified, these refer to kinematic viscosities measured using suitable apparatus at 25°C.

- 5 As used herein, the term "mixture droplet" is used to refer to the discrete droplets comprising both hydrocarbon oil and functionalised silicone.

10 By discrete droplets, it is meant that whereas the main body of the composition is water-continuous, the droplets form a separate, discontinuous, non-aqueous phase.

15 It is essential to the invention that both hydrocarbon oil and functionalised silicone are present within common droplets. In other words, within a single droplet, both hydrocarbon oil and functionalised silicone must be present together. However, it is not essential to the invention that every non-aqueous droplet within the composition has this form, so long as an effective amount of the mixture
20 droplets is present in the composition.

In a preferred form of a composition according to the invention, the composition comprises at 0.25 to 0.5% by weight of the mixture droplets.

25

It is also preferred if the mixture droplets comprise at least 5% by weight of functional silicone and at least 5% by weight of hydrocarbon oil expressed as a percentage of the weight of the mixture droplets.

30

Functionalised Silicone

The functionalised silicone is present in the mixture droplets at a level of at least 25 wt%, preferably at least 40 wt%, and more preferably at least 50 wt% based on the total weight of the mixture droplets.

Suitably, the functionalised silicone has a kinematic viscosity of less than $1000 \text{ mm}^2 \text{ s}^{-1}$, preferably less than $500 \text{ mm}^2 \text{ s}^{-1}$, more preferably less than $200 \text{ mm}^2 \text{ s}^{-1}$ at 25°C .

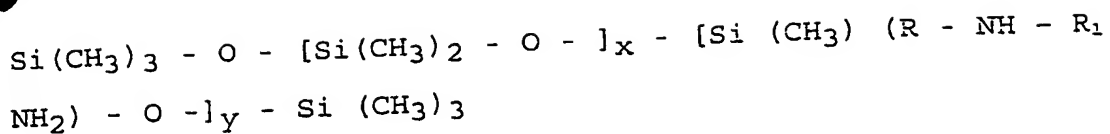
Suitable functionalised silicones include, for example, amino-, carboxy-, betaine-, quaternary ammonium-, carbohydrate-, hydroxy- and alkoxy-substituted silicones.

Preferably, the functionalised silicone contains multiple substitutions.

For the avoidance of doubt, as regards hydroxyl-substituted silicones, a polydimethylsiloxane merely having hydroxyl end groups (which have the CTFA designation dimethiconol) is not considered a functionalised silicone within the present invention. However, a polydimethylsiloxane having hydroxyl substitutions along the polymer chain is considered a functionalised silicone.

Preferred functionalised silicones are amino-functionalised silicones. Suitable amino functionalised silicones are described in EP 455,185 (Helene Curtis) and include trimethylsilylamodimethicone as depicted below:

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- wherein $x + y$ is a number from about 50 to about 500 and
 5 wherein R is an alkylene group having from 2 to 5 carbon atoms and R_1 is a second alkylene group having from 2 to 4 carbon atoms. Preferably, the number $x + y$ is in the range of from about 100 to about 300.
- 10 As expressed here, the weight percent amine functionality is measured by titrating a sample of the amino-functionalised silicone against alcoholic hydrochloric acid to the bromocresol green end point. The weight % amine is calculated using a molecular weight of 45 (corresponding to
 15 $\text{CH}_3 - \text{CH}_2 - \text{NH}_2$).

Suitably, the weight percent amine functionality measured and calculated in this way is in the range from 0.3% to 8%, preferably from 0.5% to 4%.

- 20 An example of a commercially available amino-functionalised silicone useful in the silicone component of the composition of the invention is DC8220 available from Dow Corning, which has a viscosity of $150 \text{ mm}^2 \text{ s}^{-1}$ at 25°C and a weight percent
 25 amine functionality of 2.0%.

Hydrocarbon oil

- The hydrocarbon oil is present in the mixture droplets at a
 30 level of at least 15 wt%, preferably at least 20 wt%, and

more preferably at least 30 wt% based on the total weight of the mixture droplets.

Suitably, the hydrocarbon oil has a viscosity at 25°C of
5 less than $500 \text{ mm}^2 \text{ s}^{-1}$, preferably less than $300 \text{ mm}^2 \text{ s}^{-1}$, more preferably less than $200 \text{ mm}^2 \text{ s}^{-1}$ and most preferably less than $50 \text{ mm}^2 \text{ s}^{-1}$.

Hydrocarbon oils include cyclic hydrocarbons, straight chain
10 aliphatic hydrocarbons (saturated or unsaturated), and branched chain aliphatic hydrocarbons (saturated or unsaturated). The hydrocarbon oils will preferably contain from 12 to 60 carbon atoms. Also suitable are polymeric hydrocarbons of alkenyl monomers, such as $\text{C}_2\text{-C}_6$ alkenyl
15 monomers. These polymers can be straight or branched chain polymers. The straight chain polymers will typically be relatively short in length, having a total number of carbon atoms as described above for straight chain hydrocarbons in general. The branched chain polymers can have substantially
20 higher chain length.

Specific examples of suitable hydrocarbon oils include paraffin oil, mineral oil, saturated and unsaturated dodecane, saturated and unsaturated tridecane, saturated and
25 unsaturated tetradecane, saturated and unsaturated pentadecane, saturated and unsaturated hexadecane, and mixtures thereof. Branched-chain isomers of these compounds, as well as of higher chain length hydrocarbons, can also be used. Exemplary branched-chain isomers are
30 highly branched saturated or unsaturated alkanes, such as

the permethyl-substituted isomers, e.g., the permethyl-substituted isomers of hexadecane and eicosane, such as 2, 2, 4, 4, 6, 6, 8, 8-dimethyl-10-methylundecane and 2, 2, 4, 4, 6, 6-dimethyl-8-methylnonane, sold by Permethyl Corporation. A further example of a hydrocarbon polymer is polybutene, such as the copolymer of isobutylene and butene. A commercially available material of this type is L-14 polybutene from Amoco Chemical Co. (Chicago, Ill., U.S.A.).

10 Particularly preferred hydrocarbon oils are the various grades of mineral oils. Mineral oils are clear oily liquids obtained from petroleum oil, from which waxes have been removed, and the more volatile fractions removed by distillation. The fraction distilling between 250°C to 300°C

15 is termed mineral oil, and it consists of a mixture of hydrocarbons ranging from $C_{16}H_{34}$ to $C_{21}H_{44}$. Suitable commercially available materials of this type include Sirius M35 and Sirius M45, available from Silkolene (Trademark).

20 Mixture Droplets

In compositions according to the invention, the mixture droplets form a separate, discontinuous phase from the continuous phase of the hair treatment composition.

25 Generally, the continuous phase of the hair treatment composition will be water-continuous.

In order for the mixture droplets to remain stable as discrete droplets over time, it is preferred if the

30 functionalised silicone and the hydrocarbon oil are

insoluble in the continuous phase of the hair treatment composition.

5 In a preferred form of the invention, the continuous phase of the hair treatment composition will be water-based. In this case, the mixture droplets will be dispersed in the composition as the oil droplets of an oil-in-water emulsion.

10 The mixture droplets may be liquid, semi-solid or solid in nature, so long as they are substantially uniformly dispersed in the fully formulated product. The mixture droplets are preferably present as either liquid or semi-solid droplets, more preferably as liquid droplets.

15 The $D_{3,2}$ average droplet size of the mixture droplets is preferably greater than 0.05 micron, more preferably at least 0.5 micron, most preferably at least 1 micron. Additionally, the $D_{3,2}$ average droplet size of the mixture droplets is no greater than 25 microns, preferably no
20 greater than 20 microns, more preferably no greater than 15 microns. It should be noted that suitable droplet size ranges include any maximum $D_{3,2}$ average droplet size associated with any minimum $D_{3,2}$ average droplet size. A
25 preferred range is from 0.05 to 25 microns, more preferably from 0.5 to 20 microns, most preferably from 1 to 15 microns.

Methods for measuring the $D_{3,2}$ average droplet size are well known to those skilled in the art, and may require dilution

of the composition prior to measurement. One suitable method is by means of a laser light scattering technique, using a 2600D Particle Sizer from Malvern Instruments.

5 It is a highly preferred aspect of the invention that the mixture droplets be in the form of the discontinuous phase of an aqueous oil-in-water emulsion which may itself be added to the hair treatment composition during manufacture. Preferably, the aqueous emulsion is mechanically-formed. In
10 such emulsions, it is preferable that the emulsion additionally includes at least one emulsifier in order to stabilise the emulsion.

Another aspect of the invention is a method for incorporating discrete droplets comprising both a
15 functionalised silicone and a hydrocarbon oil in the same droplets, into a hair treatment composition, comprising the steps of;

- 20 i) forming an intimate, non-aqueous blend comprising the functionalised silicone and the hydrocarbon oil,
- ii) preparing an aqueous emulsion comprising droplets comprising both a functionalised silicone and a hydrocarbon oil in the same droplets and
- 25 i) mixing said aqueous emulsion with the hair treatment composition.

Suitable emulsifiers for use in the preparation of the aqueous emulsion are well known in the art and include
30 anionic, cationic, zwitterionic, amphoteric and nonionic surfactants, and mixtures thereof. Examples of anionic

surfactants used as emulsifiers for the silicone particles are alkylarylsulphonates, e.g., sodium dodecylbenzene sulphonate, alkyl sulphates e.g., sodium lauryl sulphate, alkyl ether sulphates, e.g., sodium lauryl ether sulphate
5 nEO, where n is from 1 to 20 alkylphenol ether sulphates, e.g., octylphenol ether sulphate nEO where n is from 1 to 20, and sulphosuccinates, e.g., sodium dioctylsulphosuccinate.

10 Examples of nonionic surfactants used as emulsifiers for the silicone particles are alkylphenol ethoxylates, e.g., nonylphenol ethoxylate nEO, where n is from 1 to 50 and alcohol ethoxylates, e.g., lauryl alcohol nEO, where n is from 1 to 50, ester ethoxylates, e.g., polyoxyethylene
15 monostearate where the number of oxyethylene units is from 1 to 30.

It is preferred if the emulsifier is blended into the oil phase prior to the formation of the aqueous emulsion of the
20 mixture droplets.

A preferred process for preparing aqueous emulsions of the mixture droplets which can then be incorporated in the hair treatment compositions involves use of a high-shear mixer.
25 Suitable mixers should be capable of handling high viscosity liquids at low temperatures. Preferably, the mixer is a hollow cylinder or bowl-shaped and comprises a centrally-mounted, rotatable shaft carrying thereon tools or blades which rotate with the shaft.

Suitably, the clearance of the tips of the tools or blades from the wall of the mixer is relatively small, e.g. less than 20 mm, preferably less than 15 mm, more preferably less than 10 mm. The speed of rotation of the shaft will vary
5 depending on the dimensions of the mixer but will typically be in the region of 100-1200 rpm.

Preferably, the mixer is also capable of having the temperature of mixing controlled, e.g. it comprises a jacket
10 through which a heat transfer fluid can be circulated.

In order to obtain an intimate blend of the functionalised silicone and the hydrocarbon oil in the mixture droplets, it is highly preferred that both components should be liquid at
15 the temperature at which mixing and emulsification takes place.

Hair Treatment Compositions

20 Hair treatment compositions according to the invention may suitably take the form of shampoos, conditioners, sprays, mousses or lotions. Preferred hair treatment composition forms are shampoos, conditioners and mousses.

25 Another aspect of the invention is the use of hair treatment compositions according to the invention for the conditioning of hair. A preferred use of compositions according to the invention is as a conditioning shampoo.

Shampoo Compositions

A preferred hair treatment composition in accordance with the invention is a conditioning shampoo composition.

5 Such a shampoo composition will comprise one or more cleansing surfactants which are cosmetically acceptable and suitable for topical application to the hair. Further surfactants may be present as an additional ingredient if sufficient for cleansing purposes is not provided by the emulsifier for the mixture droplets. It is preferred that
10 shampoo compositions according to the invention comprise at least one further surfactant (in addition to that used as emulsifying agent for the conditioning mixture) to provide a cleansing benefit.

15 Suitable cleansing surfactants, which may be used singularly or in combination, are selected from anionic, cationic, nonionic, amphoteric and zwitterionic surfactants and mixtures thereof. The cleansing surfactant may be the same
20 surfactant as the emulsifier, or may be different.

Shampoo compositions according to the invention will typically comprise one or more anionic cleansing surfactants that are cosmetically acceptable and suitable for topical
25 application to the hair.

Examples of suitable anionic cleansing surfactants are the alkyl sulphates, alkyl ether sulphates, alkaryl sulphonates, alkanoyl isethionates, alkyl succinates, alkyl
30 sulphosuccinates, N-alkyl sarcosinates, alkyl phosphates, alkyl ether phosphates, alkyl ether carboxylates, and alpha-

olefin sulphonates, especially their sodium, magnesium, ammonium and mono-, di- and triethanolamine salts. The alkyl and acyl groups generally contain from 8 to 18 carbon atoms and may be unsaturated. The alkyl ether sulphates, alkyl ether phosphates and alkyl ether carboxylates may contain from 1 to 10 ethylene oxide or propylene oxide units per molecule.

Typical anionic cleansing surfactants for use in shampoo compositions of the invention include sodium oleyl succinate, ammonium lauryl sulphosuccinate, ammonium lauryl sulphate, sodium dodecylbenzene sulphonate, triethanolamine dodecylbenzene sulphonate, sodium cocoyl isethionate, sodium lauryl isethionate and sodium N-lauryl sarcosinate. The most preferred anionic surfactants are sodium lauryl sulphate, sodium lauryl ether sulphate(n)EO, (where n ranges from 1 to 3), ammonium lauryl sulphate and ammonium lauryl ether sulphate(n)EO, (where n ranges from 1 to 3).

Mixtures of any of the foregoing anionic cleansing surfactants may also be suitable.

The total amount of anionic cleansing surfactant in shampoo compositions of the invention is generally from 5 to 30, preferably from 6 to 20, more preferably from 8 to 18 wt%.

The shampoo composition can optionally include co-surfactants, to help impart aesthetic, physical or cleansing properties to the composition.

A preferred example is an amphoteric or zwitterionic surfactant, which can be included in an amount ranging from 0 to about 8, preferably from 1 to 4 wt%.

5 Examples of amphoteric and zwitterionic surfactants include alkyl amine oxides, alkyl betaines, alkyl amidopropyl betaines, alkyl sulphobetaines (sultaines), alkyl glycinate, alkyl carboxyglycinates, alkyl amphopropionates, alkylamphoglycinates, alkyl amidopropyl hydroxysultaines,
10 acyl taurates and acyl glutamates, wherein the alkyl and acyl groups have from 8 to 19 carbon atoms. Typical amphoteric and zwitterionic surfactants for use in shampoos of the invention include lauryl amine oxide, cocodimethyl sulphopropyl betaine and preferably lauryl betaine,
15 cocamidopropyl betaine and sodium cocamphopropionate.

Another preferred example is a nonionic surfactant, which can be included in an amount ranging from 0.1 to 8, preferably from 1 to 5 wt%.

20

For example, representative nonionic surfactants that can be included in shampoo compositions of the invention include condensation products of aliphatic ($C_3 - C_{18}$) primary or secondary linear or branched chain alcohols or phenols with
25 alkylene oxides, usually ethylene oxide and generally having from 6 to 30 ethylene oxide groups.

Other representative nonionic surfactants include mono- or di-alkyl alkanolamides. Examples include coco mono- or di-
30 ethanolamide and coco mono-isopropanolamide.

Further nonionic surfactants which can be included in shampoo compositions of the invention are the alkyl polyglycosides (APGs). Typically, the APG is one which comprises an alkyl group connected (optionally via a bridging group) to a block
5 of one or more glycosyl groups. Preferred APGs are defined by the following formula:



10 wherein R is a branched or straight chain alkyl group which may be saturated or unsaturated and G is a saccharide group.

R may represent a mean alkyl chain length of from about C₅ to about C₂₀. Preferably R represents a mean alkyl chain length
15 of from about C₈ to about C₁₂. Most preferably the value of R lies between about 9.5 and about 10.5. G may be selected from C₅ or C₆ monosaccharide residues, and is preferably a glucoside. G may be selected from the group comprising glucose, xylose, lactose, fructose, mannose and derivatives
20 thereof. Preferably G is glucose.

The degree of polymerisation, n, may have a value of from about 1 to about 10 or more. Preferably, the value of n lies in the range of from about 1.1 to about 2. Most preferably
25 the value of n lies in the range of from about 1.3 to about 1.5.

Suitable alkyl polyglycosides for use in the invention are commercially available and include for example those

materials identified as: Oramix NS10 ex Seppic; Plantaren 1200 and Plantaren 2000 ex Henkel.

Other sugar-derived nonionic surfactants which can be
5 included in shampoo compositions of the invention include the
C₁₀-C₁₈ N-alkyl (C₁-C₆) polyhydroxy fatty acid amides, such as
the C₁₂-C₁₈ N-methyl glucamides, as described for example in
WO 92 06154 and US 5 194 639, and the N-alkoxy polyhydroxy
fatty acid amides, such as C₁₀-C₁₈ N-(3-methoxypropyl)
10 glucamide.

The shampoo composition may also optionally include one or
more cationic co-surfactants included in an amount ranging
from 0.01 to 10, more preferably from 0.02 to 5, most
15 preferably from 0.025 to 2 wt%.

The total amount of surfactant (including any co-surfactant,
and/or any emulsifier for the silicone component) in shampoo
compositions of the invention is generally from 0.1 to 50,
20 preferably from 5 to 30, more preferably from 10 to 25 wt%.

Cationic Deposition Polymer

A cationic deposition polymer is an optional ingredient in
25 shampoos according to the invention, for enhancing
conditioning performance of the compositions. By
"deposition polymer" is meant an agent which enhances
deposition of the mixture droplets from the hair treatment
composition onto the intended site during use, i.e. the hair
30 and/or the scalp.

The deposition polymer may be a homopolymer or be formed from two or more types of monomers. The molecular weight of the polymer will generally be between 5 000 and 10 000 000, typically at least 10 000 and preferably in the range 100 000 to about 2 000 000. The polymers will have cationic nitrogen containing groups such as quaternary ammonium or protonated amino groups or a mixture thereof.

The cationic nitrogen-containing group will generally be present as a substituent on a fraction of the total monomer units of the deposition polymer. Thus when the polymer is not a homopolymer it can contain spacer non-cationic monomer units. Such polymers are described in the CTFA Cosmetic Ingredient Directory, 3rd edition. The ratio of the cationic to non-cationic monomer units is selected to give a polymer having a cationic charge density in the required range.

Suitable cationic deposition polymers include, for example, copolymers of vinyl monomers having cationic amine or quaternary ammonium functionalities with water soluble spacer monomers such as (meth)acrylamide, alkyl and dialkyl (meth)acrylamides, alkyl (meth)acrylate, vinyl caprolactone and vinyl pyrrolidine. The alkyl and dialkyl substituted monomers preferably have C1-C7 alkyl groups, more preferably C1-3 alkyl groups. Other suitable spacers include vinyl esters, vinyl alcohol, maleic anhydride, propylene glycol and ethylene glycol.

The cationic amines can be primary, secondary or tertiary amines, depending upon the particular species and the pH of

the composition. In general secondary and tertiary amines, especially tertiary, are preferred.

Amine substituted vinyl monomers and amines can be
5 polymerized in the amine form and then converted to ammonium by quaternization.

The cationic deposition polymers can comprise mixtures of
monomer units derived from amine- and/or quaternary
10 ammonium-substituted monomer and/or compatible spacer monomers.

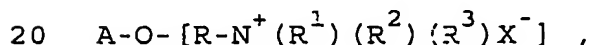
Suitable cationic deposition polymers include, for example:

- 15 - copolymers of 1-vinyl-2-pyrrolidine and 1-vinyl-3-methyl-imidazolium salt (e.g. chloride salt), referred to in the industry by the Cosmetic, Toiletry, and Fragrance Association, (CTFA) as Polyquaternium-16. This material is commercially available from BASF Wyandotte Corp.
20 (Parsippany, NJ, USA) under the LUVIQUAT tradename (e.g. LUVIQUAT FC 370);
- copolymers of 1-vinyl-2-pyrrolidine and dimethylaminoethyl methacrylate, referred to in the
25 industry (CTFA) as Polyquaternium-11. This material is available commercially from Gaf Corporation (Wayne, NJ, USA) under the GAFQUAT tradename (e.g., GAFQUAT 755N);
- cationic diallyl quaternary ammonium-containing polymers
30 including, for example, dimethyldiallylammonium chloride homopolymer and copolymers of acrylamide and

dimethyldiallylammonium chloride, referred to in the industry (CTFA) as Polyquaternium 6 and Polyquaternium 7, respectively;

- mineral acid salts of amino-alkyl esters of homo-and co-polymers of unsaturated carboxylic acids having from 3 to 5 carbon atoms, (as described in U.S. Patent 4,009,256);
 - cationic polyacrylamides (as described in WO95/22311).
- 10 Other cationic deposition polymers that can be used include cationic polysaccharide polymers, such as cationic cellulose derivatives, cationic starch derivatives, and cationic guar gum derivatives. Suitably, such cationic polysaccharide polymers have a charge density in the range from 0.1 to
- 15 4 meq/g.

Cationic polysaccharide polymers suitable for use in compositions of the invention include those of the formula:



wherein: A is an anhydroglucose residual group, such as a starch or cellulose anhydroglucose residual. R is an alkylene, oxyalkylene, polyoxyalkylene, or hydroxyalkylene group, or combination thereof. R^1 , R^2 and R^3 independently represent alkyl, aryl, alkylaryl, arylalkyl, alkoxyalkyl, or alkoxyaryl groups, each group containing up to about 18 carbon atoms. The total number of carbon atoms for each cationic moiety (i.e., the sum of carbon atoms in R^1 , R^2 and

R³) is preferably about 20 or less, and X is an anionic counterion.

Cationic cellulose is available from Amerchol Corp. (Edison, NJ, USA) in their Polymer JR (trade mark) and LR (trade mark) series of polymers, as salts of hydroxyethyl cellulose reacted with trimethyl ammonium substituted epoxide, referred to in the industry (CTFA) as Polyquaternium 10. Another type of cationic cellulose includes the polymeric quaternary ammonium salts of hydroxyethyl cellulose reacted with lauryl dimethyl ammonium-substituted epoxide, referred to in the industry (CTFA) as Polyquaternium 24. These materials are available from Amerchol Corp. (Edison, NJ, USA) under the tradename Polymer LM-200.

Other suitable cationic polysaccharide polymers include quaternary nitrogen-containing cellulose ethers (e.g. as described in U.S. Patent 3,962,418), and copolymers of etherified cellulose and starch (e.g. as described in U.S. Patent 3,958,581).

A particularly suitable type of cationic polysaccharide polymer that can be used is a cationic guar gum derivative, such as guar hydroxypropyltrimonium chloride (Commercially available from Rhone-Poulenc in their JAGUAR trademark series).

Examples are JAGUAR C13S, which has a low degree of substitution of the cationic groups and high viscosity. JAGUAR C15, having a moderate degree of substitution and a low viscosity, JAGUAR C17 (high degree of substitution, high

viscosity), JAGUAR C16, which is a hydroxypropylated cationic guar derivative containing a low level of substituent groups as well as cationic quaternary ammonium groups, and JAGUAR 162 which is a high transparency, medium viscosity guar
5 having a low degree of substitution.

Preferably the cationic deposition polymer is selected from cationic cellulose and cationic guar derivatives.

10 Particularly preferred deposition polymers are JAGUAR C13S, JAGUAR C15, JAGUAR C17 and JAGUAR C16 and JAGUAR C162.

Conditioner Compositions

15 Compositions in accordance with the invention may also be formulated as conditioners for the treatment of hair, typically applied to the hair after shampooing and subsequent rinsing.

20 Conditioning Surfactant

Such a conditioner will comprise one or more conditioning surfactants which are cosmetically acceptable and suitable for topical application to the hair.

25 Suitable conditioning surfactants are selected from cationic surfactants, used singly or in admixture. Examples include quaternary ammonium hydroxides or salts thereof, e.g. chlorides.

30

Suitable cationic surfactants for use in hair conditioners of the invention include cetyltrimethylammonium chloride, behenyltrimethylammonium chloride, cetylpyridinium chloride, tetramethylammonium chloride, tetraethylammonium chloride, 5 octyltrimethylammonium chloride, dodecyltrimethylammonium chloride, hexadecyltrimethylammonium chloride, octyldimethylbenzylammonium chloride, decyldimethylbenzylammonium chloride, stearyldimethylbenzylammonium chloride, 10 didodecyldimethylammonium chloride, dioctadecyldimethylammonium chloride, tallowtrimethylammonium chloride, cocotrimethylammonium chloride, PEG-2 oleylammonium chloride and the corresponding hydroxides thereof. Further suitable cationic surfactants include those materials having 15 the CTFA designations Quaternium-5, Quaternium-31 and Quaternium-18. Mixtures of any of the foregoing materials may also be suitable. A particularly useful cationic surfactant for use in hair conditioners of the invention is cetyltrimethylammonium chloride, available commercially, for 20 example as GENAMIN CTAC, ex Hoechst Celanese.

In conditioners of the invention, the level of cationic surfactant is preferably from 0.01 to 10, more preferably 0.05 to 5, most preferably 0.1 to 2 wt% of the total 25 composition.

Fatty Alcohol

Conditioners according to the invention advantageously 30 incorporate a fatty alcohol material. The combined use of fatty alcohol materials and cationic surfactants in

conditioning compositions is believed to be especially advantageous, because this leads to the formation of a lamellar phase, in which the cationic surfactant is dispersed.

5 Representative fatty alcohols comprise from 8 to 22 carbon atoms, more preferably 16 to 20. Examples of suitable fatty alcohols include cetyl alcohol, stearyl alcohol and mixtures thereof. The use of these materials is also advantageous in that they contribute to the overall conditioning properties
10 of compositions of the invention.

The level of fatty alcohol material in conditioners of the invention is conveniently from 0.01 to 10, preferably from 0.1 to 5 wt% by weight of the total composition. The weight
15 ratio of cationic surfactant to fatty alcohol is suitably from 10:1 to 1:10, preferably from 4:1 to 1:8, optimally from 1:1 to 1:4.

Mousses

20 Hair treatment compositions in accordance with the invention may also take the form of aerosol foams (mousses) in which case a propellant must be included in the composition. This agent is responsible for expelling the other materials from
25 the container and forming the hair mousse character.

The propellant gas can be any liquefiable gas conventionally used for aerosol containers. Examples of suitable propellants include dimethyl ether, propane, n-butane and
30 isobutane, used singly or in admixture.

The amount of the propellant gases is governed by normal factors well known in the aerosol art. For hair mousses, the level of propellant is generally from 3 to 30, preferably from 5 to 15 wt% of the total composition.

5 Small quantities of surfactant ranging anywhere from 0.1 to 10, preferably from 0.1 to about 1 wt%, for example 0.3 wt% may be present in the hair mousse compositions of the invention. The surfactant may be an anionic, nonionic or cationic emulsifier. Particularly preferred are nonionic
10 emulsifiers which are formed from alkoxylation of hydrophobes such as fatty alcohols, fatty acids and phenols.

Optional Ingredients

15 Compositions of this invention may contain any other ingredient normally used in hair treatment formulations. These other ingredients may include viscosity modifiers, preservatives, colouring agents, polyols such as glycerine and polypropylene glycol, chelating agents such as EDTA,
20 antioxidants, fragrances, antimicrobials and sunscreens. Each of these ingredients will be present in an amount effective to accomplish its purpose. Generally these optional ingredients are included individually at a level of up to 5 wt% of the total composition.

25 Preferably, compositions of this invention also contain adjuvants suitable for hair care. Generally such ingredients are included individually at a level of up to 2, preferably up to 1 wt% of the total composition.

30 Among suitable hair care adjuvants, are:

(i) natural hair root nutrients, such as amino acids and sugars. Examples of suitable amino acids include arginine, cysteine, glutamine, glutamic acid, isoleucine, leucine, methionine, serine and valine, and/or precursors and derivatives thereof. The amino acids may be added singly, in mixtures, or in the form of peptides, e.g. di- and tripeptides. The amino acids may also be added in the form of a protein hydrolysate, such as a keratin or collagen hydrolysate. Suitable sugars are glucose, dextrose and fructose. These may be added singly or in the form of, e.g. fruit extracts. A particularly preferred combination of natural hair root nutrients for inclusion in compositions of the invention is isoleucine and glucose. A particularly preferred amino acid nutrient is arginine.

(ii) hair fibre benefit agents. Examples are ceramides, for moisturising the fibre and maintaining cuticle integrity. Ceramides are available by extraction from natural sources, or as synthetic ceramides and pseudoceramides. A preferred ceramide is Ceramide II, ex Quest. Mixtures of ceramides may also be suitable, such as Ceramides LS, ex Laboratoires Serobiologiques.

25 Mode of Use

The compositions of the invention are primarily intended for topical application to the hair and/or scalp of a human subject to improve hair fibre surface properties such as smoothness, softness, manageability, cuticle integrity, and shine.

The invention will now be further illustrated by the following, non-limiting examples:

5 Examples

Shampoo formulations were made as shown in table 1. Examples 1, 2 and 3 are comparative examples, whereas example A is a shampoo composition according to the invention.

10

Table 1

Ingredient	Trade Name	Supplier	Ex.1	Ex.2	Ex.3	Ex.A
Sodium laureth (2 EO) sulphate	Empicol ESB70	Albright & Wilson	16	16	16	16
Coco amidopropyl betaine	Tegobetaine CK	Goldschmidt	2	2	2	2
Guar Hydroxypropyl Trimonium Chloride	Jaguar C13S	Rhone Poulenc	0.1	0.1	0.1	0.1
Mineral Oil	Mineral oil M40	Fuchs Lubricants	1	-	-	-
Amino silicone	DC8220	Dow Corning	-	1	-	-
Pre-emulsified blend of Mineral oil+Amino silicone DC8466	-	-	-	-	1	-
Pre-emulsified blend of Mineral oil+Amino silicone DC8200	-	-	-	-	-	1
Water	-	-	to 100	to 100	to 100	to 100

The aminosilicone DC8220 has a viscosity of $150 \text{ mm}^2 \text{ s}^{-1}$ at 25°C and a weight percent amine functionality of 2.0%.

The aminosilicone DC8466 has a viscosity of $15000 \text{ mm}^2 \text{ s}^{-1}$ at 25°C and a weight percent amine functionality of 2.3%.

Mineral oil M4 has a viscosity of $4.3 \text{ mm}^2 \text{ s}^{-1}$ at 25°C .

5

Test Method

Hair switches were oiled with commercially available oil, which is a blend of 60% coconut oil and 40% mineral oil (M40). 0.5ml of oil was applied on to the switches and the switches were allowed to stay for an hour. 0.35ml of test formulation was measured and applied onto the oiled hair switches, followed by washing and rinsing in accordance with normal procedures. The shampooing and rinsing procedure was repeated for second application and then the switches were allowed to dry at normal temperature ($20-25^\circ\text{C}$). On drying, the switches were assessed by panellists with previous experience in assessing hair switch characteristics.

Evaluation results

The rating scores are shown in the Tables below for the attributes described.

Table 2

Attribute	Ex.1	Ex.A
Ease of Comb	0.28	0.53
Clean feel	0.29	0.38

Table 3

Attribute	Ex.2	Ex.A
Ease of Comb	0.19	0.53
Clean feel	0.33	0.38

Table 4

Attribute	Ex.3	Ex.A
Ease of Comb	0.25	0.3
Clean feel	0.25	0.3

5

The results show that the example according to the invention (A) delivers improved conditioning relative to the comparative examples 1, 2 and 3 without affecting the clean feel.

10

CLAIMS

1. A composition for hair treatment comprising discrete droplets characterised in that the droplets, within the same
5 droplet comprise both;
 - (i) a functionalised silicone and,
 - (ii) a hydrocarbon oil.
- 10 2. A composition according to claim 1 comprising at least 0.25% by weight of the droplets.
3. A composition according to any preceding claim wherein the droplets comprise at least 5% by weight of
15 functionalised silicone and at least 5% by weight of hydrocarbon oil expressed as a percentage of the weight of the droplets.
4. A composition according to any preceding claim, wherein
20 the functionalised silicone is an amino-functionalised silicone.
5. A composition according to claim 4 wherein the amino-functionalised silicone has a weight percentage amine
25 functionality in the range from 0.3% to 8%, preferably from 0.5% to 4% .
6. A composition according to any preceding claim wherein the hydrocarbon oil has a kinematic viscosity of less than
30 $500 \text{ mm}^2 \text{ s}^{-1}$ at 25°C .

7. A composition according to any preceding claim wherein the kinematic viscosity of the functionalised silicone is less than $1000 \text{ mm}^2 \text{ s}^{-1}$ at 25°C .
- 5 8. A composition according to any preceding claim wherein the mean droplet diameter ($D_{3,2}$) of the conditioning mixture in the composition is from 0.05 to 25 microns.
9. A composition according to any preceding claim wherein
10 the droplets further comprise an emulsifying agent.
10. A composition according to claim 9 wherein the emulsifying agent comprises a nonionic surfactant.
- 15 11. A composition according to any preceding claim wherein the droplets are added to the composition as a non-aqueous phase of a pre-formed aqueous emulsion.
12. A composition according to any preceding claim which is
20 a shampoo composition comprising at least one cleansing surfactant selected from anionic, cationic, nonionic, amphoteric and zwitterionic surfactants and mixtures thereof.
- 25 13. A composition according to any one of claims 1 to 11, which is a conditioner composition comprising at least one conditioning surfactant and a fatty alcohol and/or an alkoxylated fatty alcohol.